



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:  
Mennie et al.

Serial No. 09/076,528

Filed: May 12, 1998

Title METHOD AND APPARATUS  
FOR DISCRIMINATING AND  
COUNTING DOCUMENTS

)  
)  
)  
) Examiner: Anh Do  
)  
) Group Art Unit: 2724  
)  
) Attorney Docket No. CUMM:139--1  
)

PATENT

RECEIVED

MAR 17 1999

Group 2700

SECOND INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§1.97 and 1.98

Assistant Commissioner for  
Patents  
Washington, D.C. 20231

Sir:

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:	
3/8/99 Date	James M. Ahlana Signature

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on attached Form PTO-1449 be considered by the Examiner and made of record.

The listed U.S. patent documents are patents issued to Applicants' assignee, most of which were cited in the prior Information Disclosure Statement as "copending applications."

In accordance with 37 C.F.R. § 1.98(d), copies of those references not already of record or cited by the Examiner in a parent application are enclosed.

On September 4, 1998 Cummins-Allison Corporation ("Cummins"), the assignee of U.S. Pat. No. 5,692,067, sued Glory U.S.A., Inc. ("Glory") in the Western Division of the United States District Court for the Northern District of Illinois, Civil Action No. 98 C 50292, for infringing U.S. Pat. Nos. 5,692,067 and 5,790,697. This case was subsequently transferred to the Eastern Division of the United States District Court for the Northern District of Illinois where it is currently pending as Civil Action No. 98 C 6673. This case is listed on the attached PTO-1449 Form as "Cummins-Allison Corp. v. Glory U.S.A., Inc., N.D. Ill." under the designation H22.

03/15/1999 SLURMS1 00000212 09076528

01 FC:126

240.00 UP

During this litigation, Glory brought the documents designated H1, H5, H13, H15, and H18 on the attached PTO-1449 Form to Cummins' attention. These documents are enclosed. The translations of these documents as provided by Glory are also enclosed and are designated H7, H12, H17, and H20 on the attached PTO-1449 Form. Translations of these documents obtained by Cummins are enclosed and designated as H6, H11, H14, H16 and H19 on the attached PTO-1449. Additional documents and their translations are enclosed and designated as H2-H4 and H8-H10 on the attached PTO-1449 Form.

Japanese documents H1-H4 appear to relate to similar devices. Applicants believe these documents purport to disclose a currency discriminator having two destinations for documents processed. For example, page 7 of H6 states:

The control circuit also has a wrong denomination paper currency discharge unit 123. If a mismatch is indicated by the collation signals RF from the denomination collation unit 114, the detected note of paper currency is not conveyed to the paper currency collection unit 23 but may be discharged from a discharge slot.

See also H8, p.8; H9, p.24; and H10, p.8. Compare with page 12 of H7 (Glory's translation). Accordingly, the wrong denomination paper currency discharge unit 123 is capable of routing bills to a discharge slot instead of the paper currency collection unit 23. See also the middle of page 11 of H6 and the last two sentences of the first paragraph on page 13 of H6. Likewise, see H8, pp. 11 and 13; H9, pp. 27 and 29; and H10, pp. 12 and 14. Compare with H7, pages 18-19 and 22. In this regard, documents H1-H4 appear to be similar to the multiple output receptacle device of U.S. Patent No. 4,179,685 to O'Maley.

The applicants would like to make the Examiner aware of the following pending applications that are being pursued by the assignee of the present application:

Application No.	Date Filed	Title
09/065,256	04/23/98	Method and Apparatus for Currency Discrimination and Counting
08/841,203	04/29/97	Method and Apparatus for Currency Discrimination and Counting
09/219,208	12/22/98	Method and Apparatus for Document Identification
09/126,580	07/30/98	Method and Apparatus for Discriminating and Counting Documents
09/028,162	03/06/98	Automatic Currency Processing System
09/022,431	02/12/98	Software Loading System for an Automatic Funds Processing System




Application No.	Date Filed	Title
09/197,250	11/20/98	Color Scanhead and Currency Handling System Employing the Same
08/756,167	10/13/98	Unattended Redemption Machine
09/059,813	04/14/98	An Image Processing Network
09/179,573	10/27/98	Customizable International Note Counter
Unknown Atty. Dkt. No. CUMM 215	01/15/99	Intelligent Document Handling System
Unknown Atty. Dkt. No. CUMM 228	02/05/99	Intelligent Currency Handling System
09/181,254	10/28/98	Document Facing Method and Apparatus
Unknown Atty. Dkt. No. CUMM 244	01/12/99	A Software Loading System for a Cash Settlement Machine

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability as defined in 37 C.F.R. §§ 1.56.

The present Information Disclosure Statement is being submitted after the mailing of the first Office Action reflecting an examination on the merits but before the mailing of a Final Rejection or Notice of Allowance. Accordingly, in accordance with 37 C.F.R. § 1.97(c), a fee of \$240 is included herewith. If this check is inadvertently not enclosed, or is in error in amount, the Commissioner is authorized to charge any deficiency or to credit any over payment to Arnold, White & Durkee Deposit Account No. 01-2508/CUMM139--1.

Respectfully submitted,

  
Roger J. French  
Reg. No. 27,786  
Arnold, White & Durkee  
P.O. Box 4433  
Houston, Texas 77210  
312/744-0090  
Attorneys for Applicant